

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: Jerome M. Eldridge et al.

Title: ATOMIC LAYER DEPOSITION OF METAL OXIDE AND/OR LOW ASYMMETRICAL
TUNNEL BARRIER INTERPOLY INSULATORS

Docket No.: 1303.045US1
Filed: February 20, 2002
Examiner: Tu-Tu V. Ho



Serial No.: 10/081,818
Due Date: N/A
Group Art Unit: 2818

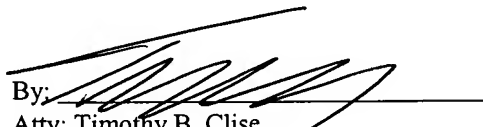
MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

- ☒ Return postcard.
- ☒ Supplemental Information Disclosure Statement (2 pgs.), Form 1449 (8 pgs.), and copies of 135 cited documents.

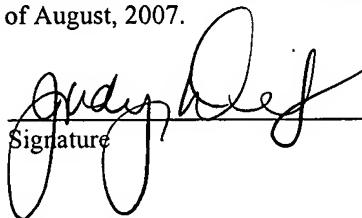
If not provided for in a separate paper filed herewith, Please consider this a **PETITION FOR EXTENSION OF TIME** for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG & WOESSNER, P.A.
Customer Number 21186

By: 
Atty: Timothy B. Clise
Reg. No. 40,957

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 28 day of August, 2007.

JUDY DENT
Name


Signature

SCHWEGMAN, LUNDBERG & WOESSNER, P.A.

(GENERAL)



S/N 10/081,818

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Jerome M. Eldridge et al.	Examiner:	Tu-Tu V. Ho
Serial No.:	10/081,818	Group Art Unit:	2818
Filed:	February 20, 2002	Docket:	1303.045US1
Title:	ATOMIC LAYER DEPOSITION OF METAL OXIDE AND/OR LOW ASYMMETRICAL TUNNEL BARRIER INTERPOLY INSULATORS		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Supplemental Information Disclosure Statement considered.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Serial No :10/081,818

Filing Date: February 20, 2002

Title: ATOMIC LAYER DEPOSITION OF METAL OXIDE AND/OR LOW ASYMMETRICAL TUNNEL BARRIER INTERPOLY INSULATORS

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The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Pursuant to 37 C.F.R. 1.98(a)(2), Applicant believes that copies of cited U.S. Patents and Published Applications, and Non-Published Applications identifiable by USPTO Serial Number, are no longer required to be provided to the Office. Notification of this change to this effect was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004 and October 19, 2004. Thus, Applicant has not included copies of any US Patents or US Patent Applications identifiable by serial number that may be cited with this submission. Should the Office require copies to be provided, Applicant respectfully requests that notice of such requirement be directed to Applicant's below-signed representative. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

Respectfully submitted,

SCHWEGMAN, LUNDBERG & WOESSNER, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 349-9587

Date

27 Aug '07

By

Timothy B. Clise
Reg. No. 40,957

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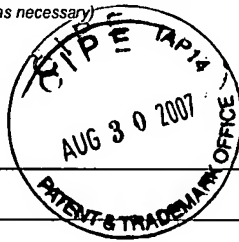
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)



Sheet 1 of 8

Complete if Known

Application Number	10/081,818
Filing Date	February 20, 2002
First Named Inventor	Eldridge, Jerome
Group Art Unit	2818
Examiner Name	Ho, Tu-Tu

Attorney Docket No: 1303.045US1

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
	US-20070018214A1	01/25/2007	Ahn, K Y.	07/25/2005
	US-20070138534A1	06/21/2007	Eldridge, J. M., et al.	02/09/2007
	US-20070145462A1	06/28/2007	Eldridge, J. M., et al.	02/20/2007
	US-20070170492A1	07/26/2007	Forbes, L., et al.	03/30/2007
	US-20070178635A1	08/02/2007	Eldridge, J. M., et al.	03/27/2007

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T ²
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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Application Serial No 09-943134 (Atty Ref 1303.020US1) Final Office action mailed 01-08-2003, 13 pgs	
		Application Serial No 09-943134 (Atty Ref 1303.020US1) Notice of allowance mailed 04-07-2005, 4 pgs	
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		Application Serial No. 09-945498 (atty Ref 1303.024US1) Notice of allowance mailed 12-3-2002, 7 pgs	
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		Application Serial No. 09-945498 (atty Ref 1303.024US1) Notice of allowance mailed 5-15-2003, 6 pgs	
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		Application Serial No. 09/945,395 (Atty Ref 1303.019US1) Notice of allowance mailed 02-28-2003, 9 pgs	

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Group Art Unit	2818
Examiner Name	Ho, Tu-Tu

Sheet 2 of 8

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		Application Serial No. 09/945,395 (Atty Ref 1303.019US1) Notice of allowance mailed 07-30-2003, 5 pgs	
		Application Serial No. 09/945,395 (Atty Ref 1303.019US1) Notice of allowance mailed 12-30-2003, 4 pgs	
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		Application Serial No. 09/945,507 (Atty Ref 1303.014US1) Notice of allowance mailed 06-03-2005, 2 pgs	
		Application Serial No. 09/945,507 (Atty Ref 1303.014US1) Notice of allowance mailed 11-03-2003, 7 pgs	
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		Application Serial No. 09/945,512 (Atty Ref 1303.027US1) Final office action mailed 10-13-2005, 6 pgs	
		Application Serial No. 09/945,512 (Atty Ref 1303.027US1) Non final office action mailed 6-30-2005, 8 pgs	
		Application Serial No. 09/945,512 (Atty Ref 1303.027US1) Non-final office action mailed 12-20-2002, 9 pgs	

EXAMINER**DATE CONSIDERED**

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Application Number 10/081,818

Filing Date February 20, 2002

First Named Inventor Eldridge, Jerome

Group Art Unit 2818

Examiner Name Ho, Tu-Tu

Sheet 3 of 8

Attorney Docket No: 1303.045US1

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		Application Serial No. 09/945,512 (Atty Ref 1303.027US1) Notice of allowance mailed 01-31-2006, 4 pgs	
		Application Serial No. 09/945,512 (Atty Ref 1303.027US1) Notice of allowance mailed 02-22-2005, 7 pgs	
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		Application Serial No. 10-028001 (Atty Ref 1303.035US1) non-final office action mailed 10-12-2005, 12 pgs	
		Application Serial No. 10-028001 (Atty Ref 1303.035US1) non-final office action mailed 11-2-2004, 5 pgs	
		Application Serial No. 10-028001 (Atty Ref 1303.035US1) non-final office action mailed 2-14-2003, 12 pgs	

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Application Number	10/081,818
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Sheet 4 of 8

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		Application Serial No. 10-028001 (atty Ref 1303.035US1) Notice of allowance mailed 11-19-2003, 4 pgs	
		Application Serial No. 10-028001 (atty Ref 1303.035US1) Notice of allowance mailed 2-1-2006, 4 pgs	
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		Application Serial No. 10-028001 (atty Ref 1303.035US1) Notice of allowance mailed 6-3-2003, 3 pgs	
		Application Serial No. 10-028001 (Atty Ref 1303.035US1) Response filed 1-12-2006 to non-final office action mailed 10-12-2005, 15 pgs	
		Application Serial No. 10-028001 (Atty Ref 1303.035US1) Response filed 2-2-2005 to non-final office action mailed 11-2-2004 PGS12.pdf, 12 pgs	
		Application Serial No. 10-028001 (Atty Ref 1303.035US1) Response filed 5-14-2003 to non-final office action mailed 2-14-2003, 26 pgs	
		Application Serial No. 10-789038 (atty Ref 1303.024US2) Notice of allowance mailed 10-7-2005, 11 pgs	
		Application Serial No. 10-929916 (Atty Ref 1303.035US2) non-final office action mailed 1-26-2006, 20 pgs	
		Application Serial No. 10-929916 (Atty Ref 1303.035US2) non-final office action mailed 12-15-2004, 4 pgs	
		Application Serial No. 10-929916 (atty Ref 1303.035US2) Notice of allowance mailed 4-28-2005, 5 pgs	
		Application Serial No. 10-929916 (atty Ref 1303.035US2) Notice of allowance mailed 6-6-2006, 4 pgs	
		Application Serial No. 10-929916 (Atty Ref 1303.035US2) Response filed 3-15-2005 to non-final office action mailed 12-15-2004, 18 pgs	
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		Application Serial No. 10-931704 (Atty Ref 1303.014US2) non-final office action mailed 4-19-2007, 5 pgs	
		Application Serial No. 10/177,096 (Atty. Ref. 1303.063US1) Non Final Office Action mailed 06-14-2005, 18 pgs	
		Application Serial No. 10/177,096 (Atty. Ref. 1303.063US1) Non-Final Office Action mailed 06-10-2003, 10 pgs	

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		Application Serial No. 10/177,096 (Atty. Ref. 1303.063US1) Response filed 09-08-2005 to non final office action mailed 09-08-2005, 34 pgs	
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		Application Serial No. 10/783,695 (Atty Ref 1303.019US2) Response filed 08-03-2005 to non final office action mailed 07-01-2005, 24 pgs	
		Application Serial No. 10/788,810 (Atty Ref 1303.027US2) Non-final office action mailed 08-12-2004, 15 pgs	

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		Application Serial No. 10/819,550 (Atty Ref 1303.019US3) Response filed 12-21-2004 to non-final office action mailed 12-21-2004, 27 pgs	
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		Application Serial No. 10/929,986 (Atty Ref 1303.045US2) Notice of Allowance mailed 04-21-2005, 12 pgs	
		Application Serial No. 10/929,986 (Atty Ref 1303.045US2) Notice of Allowance mailed 05-04-2006, 5 pgs	
		Application Serial No. 10/929,986 (Atty Ref 1303.045US2) Notice of allowance mailed 05-04-2006, 5 pgs	
		Application Serial No. 10/929,986 (Atty Ref 1303.045US2) Notice of allowance mailed 09-21-2006, 7 pgs	
		Application Serial No. 10/931,704 (Atty Ref 1303.014US2), Response filed 8-20-07 to Non-Final Office Action mailed 4-19-07, 10 pgs.	
		Application Serial No. 10/931,711 (Atty Ref 1303.029US2) Final office action mailed 11-15-2005, 12 pgs	
		Application Serial No. 10/931,711 (Atty Ref 1303.029US2) Non-final office action mailed 06-28-2005, 24 pgs	
		Application Serial No. 10/931,711 (Atty Ref 1303.029US2) Notice of allowance mailed 05-31-2006, 9 pgs	
		Application Serial No. 10/931,711 (Atty Ref 1303.029US2) Notice of allowance mailed 09-18-2006, 8 pgs	

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/081,818
Filing Date	February 20, 2002
First Named Inventor	Eldridge, Jerome
Group Art Unit	2818
Examiner Name	Ho, Tu-Tu

Sheet 7 of 8

Attorney Docket No: 1303.045US1

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Application Serial No. 10/931,711 (Atty Ref 1303.029US2) Response filed 01-17-2006 to final office action mailed 01-17-2006, 28 pgs	
		Application Serial No. 10/931,711 (Atty Ref 1303.029US2) Response filed 09-28-2005 to non-final office action mailed 09-28-2005, 34 pgs	
		Application Serial No. 11-212190 (atty Ref 1303.024US3) Notice of allowance mailed 1-12-2006, 6 pgs	
		Application Serial No. 11/062,543 (Atty Ref 1303.028US2) Non final office action mailed 08-14-2006, 27 pgs	
		Application Serial No. 11/062,543 (Atty Ref 1303.028US2) Notice of allowance mailed 01-26-2007, 17 pgs	
		Application Serial No. 11/062,543 (Atty Ref 1303.028US2) Notice of Allowance mailed 01-26-2007, 17 pgs	
		Application Serial No. 11/062,543 (Atty Ref 1303.028US2) Notice of Allowance mailed 06-27-2007, 20 pgs	
		Application Serial No. 11/062,543 (Atty Ref 1303.028US2) Notice of allowance mailed 06-27-2007, 20 pgs	
		Application Serial No. 11/062,543 (Atty Ref 1303.028US2) Response filed 11-03-2006 to non final office action mailed 08-14-2006, 20 pgs	
		Application Serial No. 11/063,825 (Atty Ref 1303.132US1) Non-final office action mailed 06-01-2007, 15 pgs	
		Application Serial No. 11/202,460 (Atty Ref 1303.027US3) Non final office action mailed 01-04-2007 in, 18 pgs	
		Application Serial No. 11/202,460 (Atty Ref 1303.027US3) Notice of allowance mailed 05-17-2007, 6 pgs	
		Application Serial No. 11/202,460 (Atty Ref 1303.027US3) Response filed 03-23-2007 to non-final office action mailed 01-04-2007, 17 pgs	
		Application Serial No. 11/380,599 (Atty Ref 1303.045US3) Non-final office action mailed 03-16-2007, 21 pgs	
		Application Serial No. 11/380,599 (Atty Ref 1303.045US3) Notice of Allowance Mailed 08-16-2007, NOAR,8 pgs	
		Application Serial No. 11/380,599 (Atty Ref 1303.045US3) Response filed 07-13-2007 to non-final office action mailed 03-16-2007, 24 pgs	
		Application Serial No. 11/471,007 (Atty. Ref. 1303.035US4) Non-Final Office Action Mailed 08-16-2007, OARN,15	
		Application Serial No. 11/471,008 (Atty Ref 1303.035US3) Non Final Office Action Mailed 08/15/07, OARN,17 pgs	
		Final office action mailed 12-13-2006 in Serial No 11-140643 (Atty Ref 1303.141US1), 34 pgs	
		Non-final office action mailed 03-09-2006 in Serial No 11-140643 (Atty Ref 1303.141US1), 30 pgs	

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Sheet 8 of 8

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		Non-final office action mailed 06-04-2007 in Serial No 11-140643 (Atty Ref 1303.141US1), 30 pgs	
		Response filed 06-09-2006 to non-final office action mailed 03-09-2006 in Serial No 11-140643 (Atty Ref 1303.141US1), 16 pgs	

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